CONFIRMATION UNDER THE FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) & CRS FOR DETERMINING US PERSON / ANY OTHER COUNTRY PERSON STATUS [Mandatory for all investors including Unit holder(Guardian in case of minor) and Joint holder(s)]

To,
Bhartia Brothers
Shakti Tower,EN-60,2nd Floor
Salt Lake City,Sector V
Kolkata-700091

APPLICANT'S INFORMATION

Trading A/c No.	
Demat A/c No.	1 2 0 3 9 6 0 0
PAN	
Name	

FATCA DECLARATION FOR INDIVIDUAL CLIENTS

Please provide a response common to all holders in the folio(s). For eg : If the answer to any one of the question for any one of the holder is "Yes", please tick on "Yes" against the question

FOR INDIVIDUAL INVESTORS (INDIVIDUAL / NRI / HUF / ON BEHALF OF MINOR / PROPRIETORSHIP FIRM)

FATCA Compliance Confirmation Indicia	"Yes" or "No" please(✓)	
Are you a resident or Citizen of the United States or any other country out of India?	□ Yes	□ No
Is your place of birth US or any other country out of India ?	□ Yes	□ No
Do you have a telephone number in the capacity of a resident / citizen of US or any other country out of India?	□ Yes	□ No
Do you hold any residence / mailing address / 'C/o address' / hold mail address / PO Box address in the US or any other country out of India?	□ Yes	□ No
Is your POA holder based out of US or any other country out of India or hold US or any other country out of India residence / citizenship?	□ Yes	□ No
Do you pay tax in the US or any other country out of India?	□ Yes	□ No
Do you hold an Identification Number or any identification that indicates US or any other country out of India residence / citizenship?	□ Yes	□ No

In case of individual client who is a resident or Citizen of the United States or any other country out of India:

Spouse Name : _____

Nationality :_____Place of Birth :_____

If "No" Plaese proceed of the signature of declaration

If "Yes", please fill for ALL countries (other than India) in which you are a resident for Tax purposes i.e..where you are a Citizen /Resident/Green Card Holder/ Tax Resident in the respective countries

Sr. No	Country of Tax Residency	Tax Identification Number or Functional Equivalent	Identification Type (TIN or other, please specify)	If Tin is not available, please tick the reason A,B,or C(as defined below)
				Reason ☐ A ☐ B ☐ C*
				Reason ☐ A ☐ B ☐ C*

*Please specify reason_____

Reason A: The country where the Account Holder is liable to pay tax does not issue Tax Identification Number to its residents.
Reason B: No. Tin required.(Select this reason Noly if the authorities of the respective country of tax residence do not require the Tin to be collected)
Reason C: Others; Please state the reason threof.

DECLARATION & SIGNATURE(S) (To be signed as per mode of holding)

Client agrees to provide the trading member and/or depository participant with any documentation or information requested relating to individual or entity tax status. To the extent required by the trading member and/or depository participant, client hereby consents to the disclosure and reporting of any tax related information obtained or held by the trading member and/or depository participant to any local or foreign regulatory or tax authority ("Tax Authority"). Upon request by the trading member and/or depository participant, client hereby agrees to obtain a written waiver or consent from the entity's "substantial owners" or "controlling persons" and to provide those consents to the trading member and/or depository participant to permit it to disclose and report tax and account specific financial information to any local or foreign Tax authority. The terms "substantial owners" and "controlling persons" shall have the meaning as defined under local or foreign tax laws, regulatory guidance or inter governmental cooperation agreements. The potential consequences for failure to comply with requests for tax information, failure to respond to requests for waivers or consents for tax information disclosure, and/or failure to respond to requests to obtain waivers or consents from substantial owners or controlling persons, include, but are not limited to: (a) trading member and/or depository participant has the right to carry out actions which are necessary to comply with the local or foreign tax reporting obligations; (b) trading member and/or depository participant has the ability to withhold taxes that may be due from certain payments made to the client's account; (c) trading member and/or depository participant has the right to pay relevant taxes to the appropriate tax authority; (d) trading member and/or depository participant has the right to refuse to provide certain services; and (e) trading member and/or depository participant has the discretion to close client accounts. The client agrees to inform, or respond to any request from, the trading member and/or depository participant, if there are any changes to tax information previously provided.

Authorised Signatory 1 / 1st Applicant	Authorised Signatory 2 /2nd Applicant	Authorised Signatory 3 / 3rd Applicant
Date:		
Place:		